



IFC

932.1353

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: PUIG TORRES, Salvador et al.  
Serial No.: 10/566,413  
Filed: January 30, 2006  
For: PROCEDURE FOR PREPARING 11-(4-[2-(2-HYDROXYETHOXY)ETHYL]-1-PIPERAZINEYL)-DIBENZO [B,F] [1,4] THIAZEPINE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 13, 2006

Dear Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicant submits herewith an information disclosure citation which lists references of which the applicant is aware. A copy of each of the references is also submitted herewith.

An English translation of CH 422 793 is not available at this time. It is respectfully submitted that the relevance of this reference can be readily understood by referring to the drawings of the patent provided.

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as "first class mail" in an envelope addressed to: "Commissioner for Patents, P. O. Box 1450 Alexandria, VA 22313-1450" on February 13, 2006.

STEINBERG & RASKIN, P.C.

BY: 

This submission does not represent that a search has been made or that no better art exists.

While the term "references" is used in citing the publications called to the examiner's attention herein, applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicant respectfully requests that the examiner independently determine those items which the examiner would consider the most pertinent of all the references cited herein.

Applicant respectfully requests that the references be considered and made of record.

This Information Disclosure Statement is being filed prior to receipt of the first office action and therefore no fee is due. However, in the event that a fee is required, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 500518.

Respectfully submitted,  
By: Noam R. Pollack  
Noam R. Pollack  
Reg. No. 56,829

Encls. PTO-1449  
3 References

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FORM PTO-1449 (REV. 7-80)			U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO.: 932.1353		SERIAL NO.: 10/566,413				
<p style="text-align: center;"><b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)</p> <p style="text-align: center;">FEB 17 2006</p> <p style="text-align: center;">U.S. PATENT AND TRADEMARK OFFICE</p>						APPLICANT(S): PUIG TORRES, Salvador et al.						
						FILING DATE: January 30, 2006			GROUP: Not yet known			
<b>U.S. PATENT DOCUMENTS</b>												
*EXAMINER INITIAL		DOCUMENT NUMBER				DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	AA											
	AB											
	AC											
	AD											
	AE											
	AF											
	AG											
	AH											
	AI											
	AJ											
	AK											
<b>FOREIGN PATENT DOCUMENTS</b>												
		DOCUMENT NUMBER				DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
		4	2	2	7	9	3			YES	NO	
	AL							Switzerland			X	
	AM											
	AN											
	AO											
	AP											
<b>OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>												
	AQ	Greene. Protective Groups in Organic Synthesis, 3 <sup>rd</sup> edition, Wiley Interscience, chapter 2, pages 17-23; 48-51; 54-55; 76-87; 102-105; 110-111; 702-703; 706-708.										
	AR	Smith et al. March's Advanced Organic Chemistry, 5 <sup>th</sup> edition, New York (USA): John Wiley & Sons; 2001, page 446.										
	AS											
EXAMINER							DATE CONSIDERED					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.